

02/16/00

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 04983.0210.US00/38-21(15478)B  
First Named Inventor or Application Identifier CAO  
Title Plant Genome Sequences and Uses Thereof  
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 2372 ]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R&D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claims  
- Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets ]
4. ☐ Oath or Declaration [Total Pages ]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☒ Computer Readable Copy  
b. ☒ Paper Copy (identical to computer copy)  
c. ☒ Statement verifying identity of above  
copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)  
(should be specifically itemized)
14. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: /

Prior Application Information: Examiner:

Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	David R. Marsh HOWREY SIMON ARNOLD & WHITE, LLP				
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COUNTRY	US	TELEPHONE	202-783-0800	FAX	202-383-7195
Name (Print/Type)	David R. Marsh/Timothy W. Riffe		Registration No. (Attorney/Agent)	41,408/43,881	
Signature			Date	February 16, 2000	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**HOWREY SIMON ARNOLD & WHITE, LLP**  
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**Washington, D.C. 20004-2402**  
**(202) 783-0800**

Attorney Docket No. 04983.0210.US00/38-21(15478)B

ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of  
 Inventors: Yongwei CAO *et al.*  
 For: Plant Genome Sequences and Uses Thereof

Enclosed are:

- ☒ An application consisting of 2,370 pp. of description prior to the claims, 1 p. of claims (7 claims), a 1 p. abstract; and 30,213 pp. of a sequence listing.  
☒ A CD-ROM containing the sequence listing.  
☒ Form PTO/SB/05.  
☒ Statement Regarding Sequence Submission.

This application is being filed without an executed Declaration, and without payment of official fees. For information purposes, the claim fees have been calculated below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 380.00	OR		\$ 690.00
TOTAL CLAIMS	7 -20 =	* 0	x 9 =		OR	x 18 =	0.00
INDEP. CLAIMS	3 -3 =	* 0	x 39 =		OR	x 78 =	0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 130 =		OR	+ 260 =	
			TOTAL		OR	TOTAL	\$690.00

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

☐ Please charge my Deposit Account No. 08-3038 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

☐ A check in the amount of \$\_\_\_\_\_ to cover the \_\_\_\_\_ fee is enclosed.

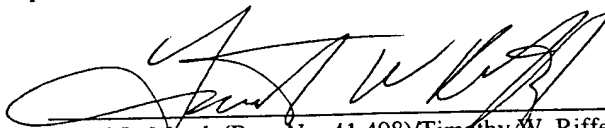
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- ☐ Any additional filing fees required under 37 CFR 1.16.  
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- ☐ Any patent application processing fees under 37 CFR 1.17  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date February 16, 2000

  
 David R. Marsh (Reg. No. 41,408)/Timothy W. Riffe (Reg. No. 43,881)

Please type a plus sign (+) inside



PTO/SB/122 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035  
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#3

**CHANGE OF  
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Application**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Application Number	To be assigned
Filing Date	February 16, 2000
First Named Inventor	CAO, Yongwei
Group Art Unit	To be assigned.
Examiner Name	To be assigned.
Attorney Docket Number	04983.0210.US00/38-21(15478)B

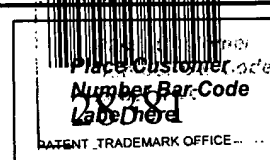
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I am the :

- ☐ Applicant.
- ☐ Assignee of record of the entire interest.  
Certificate under 37 CFR 3.73(b) is enclosed.
- ☐ Attorney or agent of record.
- ☒ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number 41,408 / 43,741

Typed or  
Printed Name

David R. Marsh (Reg. No.: 41,408)

June E. Cohan (Reg. No.: 43,741)

Signature

*David R. Marsh*

Date

*July 12, 01*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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